

GP 1645 \$

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
UT-0003

In Re Application Of:
RAO, ET AL.

DEC 09 1999
U.S. PATENT & TRADEMARK OFFICE

#9 12/18/99
T. Gray

Serial No.
09/073,881

Filing Date
MAY 6, 1998

Examiner
R. HAYES

Group Art Unit
1645

Invention:
COMMON NEURAL PROGENITOR FOR THE CNS AND PNS

RECEIVED
DEC 13 1999

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

TECH CENTER 1600/2900

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
October 18, 1999
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☒ was/were filed on May 6, 1998
- ☐ A separate request for refund.
- ☐ Other (list):

12/10/1999 SLUANG 00000022 09073881
01 FC:215
02 FC:299
55.00 OP
65.00 OP

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☐ Completion of application fees as calculated below:

☐ Utility application filing fee

☐ Design application filing fee

☐ Total number of independent claims = _____

☐ Total number of claims = _____

☐ Multiple dependent claims

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$65.00**

☐ Petition and fee for filing by other than all the inventors or a person not the invention

☐ Fee for processing an application filed with a non-English language specification

☐ Fee for processing and retention of application

Total completion of application fees _____

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☒ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: November 18, 1999
Date

until: December 18, 1999
Date

Total time extension fees **\$55.00**

Total fees due **\$120.00**

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09/073,881

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MAY 6, 1998

Examiner

R. HAYES

Group Art Unit

1645

Invention:

COMMON NEURAL PROGENITOR FOR THE CNS AND PNS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

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DEC 13 1999

TECH CENTER 1600/2900

The fee of \$120 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 12-1086
A duplicate copy of this sheet is enclosed.

Jane Massey Licata
Signature

Dated: December 7, 1999

Jane Massey Licata, Reg. No. 32,257

Law Offices of Jane Massey Licata
66 E. Main Street
Marlton, New Jersey 08053

Telephone: (856) 810-1515
Facsimile : (856) 810-1545

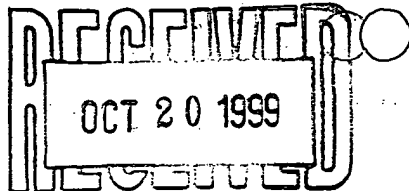
CC:

I certify that this document and fee is being deposited
on Dec. 7, 1999 with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.

Deborah Ehret
Signature of Person Mailing Correspondence

Deborah Ehret

Typed or Printed Name of Person Mailing Correspondence



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

UT-0003

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.
09/073,881	05/06/98	RAO	14903.CIF

JANE MASSEY LICATA, ESQ.
LAW OFFICES OF JANE MASSEY LICATA
66 E. MAIN STREET
MARLTON NJ 08053

HM32/1018

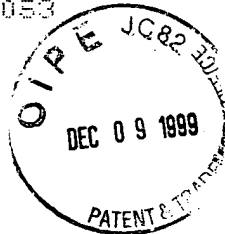
EXAMINER

HAYES, R

ART UNIT

PAPER NUMBER

1645



Docket System ☒
Status Report ☒
Docket Book ☒

DATE MAILED: 10/18/99

11/18/99

04/18/00 (MAX)

Please find below and/or attached an Office communication concerning this application or proceeding.

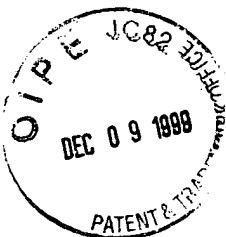
Commissioner of Patents and Trademarks



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.



EXAMINER	
ART UNIT	PAPER NUMBER

DATE MAILED:

Please find below a communication from the EXAMINER in charge of this application.

Commissioner of Patents and Trademarks

Responsive to Communication Filed _____

The enclosed is a correct copy of a reference relating to the last Office action. The correction is indicated below.

THE PERIOD FOR RESPONSE OF _____ MONTHS SET IN SAID OFFICE ACTION IS
RESTARTED TO BEGIN WITH THE DATE OF THIS LETTER.

☒ Part 1 - Correct Reference Citation

Re mail Office action dated 10/6/99

by *Donna Chapman*
Examiner

☐ Part 2 - Corrected Reference Citation
DONNA CHAPMAN
SUPERVISORY, LEGAL
INSTRUMENTS EXAMINER
GROUP 1800-160

by _____
Reference Order Center

enc.

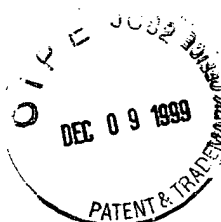
Serial Number: 08/986967
Art Unit: 1645



**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.



EXAMINER	
ART UNIT	PAPER NUMBER
	5

DATE MAILED:

Please find below a communication from the EXAMINER in charge of this application.

The reply filed on 08/05/98 is not fully responsive to the prior Office action of a Notice to File Missing Parts because of the following omission(s) or matter(s): The oath or declaration is defective. A new oath or declaration in compliance with 37 CFR 1.67(a) identifying this application by application number and filing date is required. See MPEP §§ 602.01 and 602.02.

For example, on page 1, it does not state that the person making the oath or declaration has reviewed and understands the contents of the specification, *including the claims, as amended by any amendment specifically referred to in the oath or declaration*. On page 3, it is not clear what constitutes a post office address or residence for each inventor. It also does not identify the citizenship of each inventor. See 37 CFR 1.111.

Since the above-mentioned reply appears to be *bona fide*, applicant is given **ONE (1) MONTH or THIRTY (30) DAYS** from the mailing date of this notice, whichever is longer, within which to supply the omission or correction in order to avoid abandonment. **EXTENSIONS OF THIS TIME PERIOD MAY BE GRANTED UNDER 37 CFR 1.136(a).**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to examiner Robert Hayes whose telephone number is (703) 305-3132. The examiner can normally be reached on Monday through Thursday, and alternate Fridays, from 8:30 AM to 5:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anthony Caputa, can be reached on (703) 308-3995. The fax phone number for this Group is (703) 308-4242.

Robert C. Hayes, Ph.D.
September 30, 1998

ANTHONY C. CAPUTA
SUPERVISORY PATENT EXAMINER
TECHNOLOGY CENTER 1600